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NOTICE OF ALLOWANCE AND FEE(S) DUE

38823 7500 10/05/2009

AT&T Legal Department - TKHR

Attn: Patent Docketing One AT&T Wav Room 2A-207 Bedminster, NJ 07921

EXAMINER PHAN, TUANKHANH D

PAPER NUMBER ARTHNIT

2163 DATE MAILED: 10/05/2009

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/685.558 10/14/2003 W. Todd Daniell 030458; 190250-1610 4932

TITLE OF INVENTION: PHONETIC FILTERING OF UNDESIRED EMAIL MESSAGES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	01/05/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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CURRENT CORRESPONDENCE ADDRESS Obser. Use Block 1 for any change of address) 38823 7590 1000570009 AT&T Legal Department - TKHR Attn: Patent Docketing One AT&T Way Room 2A-207 Bedminster, NI 07921				Note: A certificate of mailing can only be used for domestic mailings of the Feeds) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing of transmission. Certificate of Mailing or Transmission Lereby certify that this Feeds Transmittal is being deposited with the United States Feetal Service with sufficient postage for first class mail in a envelope addressed to the Cuty Feetal Service with sufficient postage for first class mail in a envelope addressed to the United States Feetal Service with sufficient postage for first class mail in a envelope addressed to the United States Feetal Service with sufficient postage for first class mail in a envelope addressed to the United States Feetal Service with sufficient postage for first class mail in a envelope addressed to the United States Feetal Service with sufficient postage for first class mail in a envelope to the state of the Service with sufficient postage for first class mail in a envelope to the state of the Service with sufficient postage for first class mail in a envelope that the service with sufficient postage for first class mail in a envelope that the service with sufficient postage for first class mail in a envelope that the service with sufficient postage for first class mail in a envelope that the service with sufficient postage for first class mail in a envelope that the service with sufficient postage for first class mail in a envelope that the service with sufficient postage for first class mail in a envelope that the service with sufficient postage for first class mail that the service with sufficient postage for first class mail that the service with sufficient postage for first class mail that the service with sufficient postage for first class mail that the service with sufficient postage for first class mail that the service with sufficient postage for first class mail that the service with sufficient postage f														
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APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE I	OUE	PREV. PAID ISSU	FEE	TOTAL FEE(8) DUE	1	DATE DUE									
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APPLICATION NO.	FILING DATE	FILING DATE FIRST NAMED INVENTOR		CONFIRMATION NO.		
10/685,558	10/14/2003	W. Todd Daniell	030458; 190250-1610	4932		
38823 75	90 10/05/2009		EXAM	INER		
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One AT&T Way		2163				
Room 2A-207		DATE MAILED: 10/05/2009				

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1030 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1030 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Application No. Applicant(s) 10/685,558 DANIELL ET AL. Notice of Allowability Examiner Art Unit TUAN-KHANH PHAN 2163 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to 9/16/2009. 2. The allowed claim(s) is/are 1-20. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). b) ☐ Some* c) ☐ None of the: 1. T Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). * Certified copies not received: _____. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. | Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application 2. Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date Information Disclosure Statements (PTO/SB/08). Examiner's Amendment/Comment Paper No./Mail Date 8/14/09 and 9/16/09 8. T Examiner's Statement of Reasons for Allowance ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material

Examiner, Art Unit 2163

/T P /

9. ☐ Other

/don_wona/

Supervisory Patent Examiner, Art Unit 2163

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DETAILED ACTION

This action is responsive to the following communication:

Information Disclosure Statements by Applicant: filed 5/11/2009; filed 7/09/2009; filed 8/14/2009; filed 9/16/2009. Thus, the above IDS have been considered.

Request for continued Examination, filed 5/11/2009.

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with the Applicants' representative, Anthony F. Bonner on Thursday Jan. 15 and Thursday Jan. 22, 2009 (confirmation message).

The application has been amended as follows:

Claim 1. (Currently Amended) A method comprising:

training an email system for determining spam, where training includes at least the following:

receiving and email message having a word;

retrieving a first email message;

generating a phonetic equivalent of the <u>at least one</u> word from a <u>body portion</u> of the email message;

tokenizing the phonetic equivalent of the word to generate a token representative of the phonetic equivalent:

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tokenizing at least one word in a subject line of the first email message;

tokenizing at least one simple mail transfer protocol (SMTP) email address associated with the first email message;

tokenizing at least one domain name associated with the first email message;

tokenizing at least one attachment of the first email message, wherein tokenizing
the at least one attachment includes in generating a 128-bit MD5 hash of the
attachment, appending a 32-bit length of the attachment to the, generated MD5 hash
resulting in a 160-bit number, and UUencoding the resulting 160-bit number;
determining a spam probability from the generated teken tokens;

in response to determining <u>a determination that</u> the spam probability from the generated token, tokens indicates that the first email message is likely spam:

determining whether the, generated tokens are present in a database of tokens;

in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning whether the token exists in a probability value for each token as spam and adding the token and assigned probability value to the database of tokens; and

in response to determining a <u>determination</u> that the token exits <u>is present</u> in the database of tokens, updating a probability value of the token; and

in response to determining <u>a determination that</u> the spam probability from the generated tokens, tokens, indicates that the first email message is not likely spam:

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determining whether the generated tokens are present in a database of tokens:

in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning a probability value for each token indicative of non-spam and adding the token and assigned probability value to the database of tokens; and

in response to determining that the token does not exist in the database of tokens, assigning a probability value indicative of spam to the token.

in response to a determination that the token is present in the database of tokens, updating a probability value of the token; sorting the generated tokens in accordance with the corresponding determined spam probability value; and filtering a second email message according to the training.

(Previously Presented) The method of claim 1, wherein generating the phonetic equivalent of the word comprises:

identifying a string of characters, the string of characters including a nonalphabetic character; and removing the non-alphabetic character from the string of characters.

3. (Previously Presented) The method of claim 2, wherein removing the non-alphabetic character comprises:

locating a non-alphabetic character within the string of characters, the non-alphabetic character being at least one selected from the group consisting of:

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" (quote);
' (single quote);
! (exclamation mark);
@ (at); # (pound); $ (dollar);
% (percent);
^ (caret);
& (ampersand);
* (asterisk);
( (open parenthesis);
) (close parenthesis);
_ (underscore);
- (hyphen);
+ (plus);
= (equal);
\ (backslash);
/ (slash);
? (question mark);
 (space);
    (tab);
[ (open square bracket);
] (close square bracket);
{ (open bracket);
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} (close bracket);
< (less than);
> (greater than);
, (comma);
:(colon);
;(semi-colon); and
. (period).
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4. (Previously Presented) The method of claim 1, wherein determining the spam probability comprises:

assigning a spam probability value to the token; and generating a Bayesian probability value using the spam probability value assigned to the token.

- 5. (Previously Presented) The method of claim 4, wherein determining the spam probability further comprises: comparing the generated Bayesian probability value with a predefined threshold value.
- 6. (Previously Presented) The method of claim 5, wherein determining the spam probability further comprises: categorizing the email message as spam in response to the Bayesian probability value being greater than the predefined threshold.
- (Previously Presented) The method of claim 5, wherein determining the spam probability further comprises: categorizing the email message as non-spam

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in response to the Bayesian probability value being not greater than the predefined threshold.

(Currently Amended) A <u>training email system for determining spam on a computer storage medium</u> comprising:

means for receiving an email message having a word; word and an attachment;

means for generating a phonetic equivalent of the at least one word from a $\underline{\text{body portion of}} \text{ the email message;}$

means for tokenizing the phonetic equivalent of the word to generate a token representative of the phonetic equivalent;

means for tokenizing at least one word in a subject line of the first email message;

means for tokenizing at least one word in a subject line of the first email

message; tokenizing at least one simple mail transfer protocol (SMTP) email address

associated with the first email message;

means for tokenizing at least one domain name associated with the first email message;

means for tokenizing at least one attachment of the first email message, wherein tokenizing the at least one attachment includes in generating a 128-bit MD5 hash of the attachment, appending a 32-bit length of the attachment to the, generated MD5 hash resulting in a 160-bit number, and UUencoding the resulting 160-bit number; means for determining a spam probability from the generated tokens;

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in response to a determination that the spam probability from the generated tokens, means for indicating that the first email message is likely spam:

means for determining whether the generated tokens are present in a database of tokens;

in response to a determination that at least one of the, generated tokens is not present in the database of tokens, means for assigning a probability value for each token as spam and adding the token and assigned probability value to the database of tokens; and

in response to a determination that the token is present in the database of tokens, means for updating a probability value of the token; and

in response to a determination that the spam probability from the generated tokens, means for indicating that the first email message is not likely spam:

determining whether the , generated tokens are present in a database of tokens;

in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning a probability value for each token indicative of non-spam and adding the token and assigned probability value to the database of tokens; and a means for tokenizing the attachment;

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means for sorting the generated tokens in accordance with the corresponding determined spam probability value.

in response to a determination that the token is present in the database of tokens, updating a probability value of the token; sorting the generated tokens in accordance with the corresponding determined spam probability value; and filtering a second email message according to the training.

9. (Currently Amended) A system comprising:

a processor; and

a memory, the memory storing:

receive logic configured to receive an email message having a werd: word and an attachment:

phonetic logic configured to generate a phonetic equivalent of the word from the email message;

first tokenize logic configured to tokenize the phonetic equivalent of the word to generate a token representative of the phonetic equivalent; and

second tokenize logic configured to tokenize the attachment;

tokenizing at least one word in a subject line of the first email message;

tokenizing at least one simple mail transfer protocol (SMTP) email address associated with the first email message;

tokenizing at least one domain name associated with the first email message:

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tokenizing at least one attachment of the first email message, wherein tokenizing the at least one attachment includes in generating a 128-bit MD5 hash of the attachment, appending a 32-bit length of the attachment to the, generated MD5 hash resulting in a 160-bit number, and UUencoding the resulting 160-bit number;

determining a spam probability from the generated tokens;

in response to a determination that the spam probability from the generated tokens indicates that the first email message is likely spam:

determining whether the, generated tokens are present in a database of tokens;

in response to a determination that at least one of the generated tokens is not present in the database of tokens, assigning a probability value for each token as spam and adding the token and assigned probability value to the database of tokens; and

in response to a determination that the token is present in the database of tokens, updating a probability value of the token; and

in response to a determination that the spam probability from the generated tokens, indicates that the first email message is not likely spam:

determining whether the generated tokens are present in a database of tokens:

in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning a probability value for each

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token indicative of non-spam and adding the token and assigned probability value to the database of tokens: and

in response to a determination that the token is present in the database of tokens, updating a probability value of the token; sorting the, generated tokens in accordance with the corresponding determined spam probability value; and filtering a second email message according to the training.

spam-determination logic-configured to determine a spam probability from the generated tokens; and sorting logic configured to sort the generated tokens in accordance with the corresponding determined spam probability value.

10. (Previously Presented) The system of claim 9, the memory further storing:

string-identification logic configured to identify a string of characters, the string of characters including a non-alphabetic character; and

character-removal logic configured to remove the non-alphabetic character from the string of characters.

11. (Previously Presented) The system of claim 10, the memory further storing: spam-probability logic configured to assign a spam probability value to the token; and Bayesian logic configured to generate a Bayesian probability value using the spam probability value assigned to the token. Application/Control Number: 10/685,558 Page 12

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12. (Previously Presented) The system of claim 11, the memory further storing: compare logic configured to compare the generated Bayesian probability value with a predefined threshold value.

- 13. (Previously Presented) The system of claim 12, the memory further storing: spam-categorization logic configured to categorize the email message as spam in response to the Bayesian probability value being greater than the predefined threshold.
- 14. (Previously Presented) The system of claim 12, the memory further storing: spam-categorization logic configured to categorize the email message as non-spam in response to the Bayesian probability value being not greater than the predefined threshold.
- 15. (Currently Amended) A computer-readable medium that includes a program that, when executed by a computer, causes the computer to perform at least the following:

a processor; and a memory, the memory storing:

computer readable code adapted to instruct a programmable device to

receive an email message having a word and an attachment;

computer readable code adapted to instruct a programmable device to

generate a phonetic equivalent of the word from the email message;

computer readable code adapted to instruct a programmable device to

tokenize the phonetic equivalent of the word to generate a token

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tokenize the attachment:

generate a phonetic equivalent of at least one word from a body portion of the email message;

tokenize the phonetic equivalent of the word to generate a token representative of the phonetic equivalent;

tokenize at least one word in a subject line of the first email message; tokenizing at least one simple mail transfer protocol (SMTP) email address associated with the first email message;

tokenize at least one domain name associated with the first email message;

tokenize at least one attachment of the first email message, wherein tokenizing the at least one attachment includes in generating a 128-bit MD5 hash of the attachment, appending a 32-bit length of the attachment to the, generated MD5 hash resulting in a 160-bit number, and UUencoding the resulting 160-bit number;

determine a spam probability from the generated tokens;

in response to a determination that the spam probability from the generated tokens, indicate that the first email message is likely spam:

determine whether the, generated tokens are present in a database of tokens;

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in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning a probability value for each token as spam and adding the token and assigned probability value to the database of tokens; and

in response to a determination that the token is present in the database of tokens, updating a probability value of the token; and in response to a determination that the spam probability from the generated tokens, indicates that the first email message is not likely spam; determining whether the generated tokens are present in a database of tokens;

in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning a probability value for each token indicative of non-spam and adding the token and assigned probability value to the database of tokens; and

in response to a determination that the token is present in the database of tokens, update a probability value of the token;

sort the generated tokens in accordance with the corresponding determined spam probability value; and filter a second email message according to the training.

computer readable code adapted to instruct a programmable device to determine a spam probability from the generated token; and

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sort the generated tokens in accordance with the corresponding determined soam probability value.

16. (Currently Amended) The computer-readable medium of claim 15, the memory further storing: the program further causing the computer to perform at least the following:

computer readable code adapted to instruct a programmable device to identify a string of characters, the string of characters including a non-alphabetic character: and

computer readable code adapted to instruct a programmable device to remove the non- alphabetic character from the string of characters.

- 17. (Currently Amended) The computer-readable medium of claim 15, the memory further storing: the program further causing the computer to perform at least the following: computer readable code adapted to instruct a programmable device to assign a spam probability value to the token; and computer-readable code adapted to instruct a programmable device to generate a Bayesian probability value using the spam probability value assigned to the token.
- 18. (Currently Amended) The computer-readable medium of claim 17, the memory further storing: the program further causing the computer to perform at least the following: computer readable code adapted to instruct a programmable device to compare the generated Bayesian probability value with a predefined threshold value.

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19. (Currently Amended) The computer-readable medium of claim 18, the memory further storing: the program further causing the computer to perform at least the following: computer readable code adapted to instruct a programmable device to categorize the email message as spam in response to the Bayesian probability value being greater than the predefined threshold.

20. (Currently Amended) The computer-readable medium of claim 18, the memory further storing: the program further causing the computer to perform at least the following: computer readable code adapted to instruct a programmable device to categorize the email message as non-spam in response to the Bayesian probability value being not greater than the predefined threshold.

Allowable Subject Matter

Claims 1-20 are allowed.

The following is an examiner's statement of reasons for allowance: Independent claims 1, 8, 9 and 15, when considered as a whole, are allowable over the prior arts of records. Specifically, prior arts of records fail to clearly teach or fairly suggest the combination of the following limitations:

- generating a phonetic equivalent of at least one word from a body portion of the email message;
- tokenizing the phonetic equivalent of the word to generate a token representative of the phonetic equivalent;

tokenizing at least one word in a subject line of the first email message;
 tokenizing at least one simple mail transfer protocol (SMTP) email address
 associated with the first email message:

- tokenizing at least one domain name associated with the first email message;
- tokenizing at least one attachment of the first email message, wherein tokenizing
 the at least one attachment includes in generating a 128-bit MD5 hash of the
 attachment, appending a 32-bit length of the attachment to the, generated MD5
 hash resulting in a 160-bit number, and UUencoding the resulting 160-bit
 number;
- determining a spam probability from the generated tokens;
- in response to a determination that the spam probability from the generated tokens, indicates that the first email message is likely spam:
 - determining whether the, generated tokens are present in a database of tokens;
 - in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning a probability value for each token as spam and adding the token and assigned probability value to the database of tokens; and
 - in response to a determination that the token is present in the database of tokens, updating a probability value of the token; and

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 in response to a determination that the spam probability from the generated tokens, indicates that the first email message is not likely spam:

- determining whether the generated tokens are present in a database of tokens;
- in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning a probability value for each token indicative of non-spam and adding the token and assigned probability value to the database of tokens;
- in response to a determination that the token is present in the database of tokens, updating a probability value of the token; sorting the generated tokens in accordance with the corresponding determined spam probability value; and filtering a second email message according to the training.

The dependent claims 2-7, 10-14 and 16-20, further add limitations to the allowable subject matter of the corresponding independent claims; thus they are also allowable

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably Art Unit: 2163

accompany the issue fee. Such submissions should be clearly labeled "Comments on

Statement of Reasons for Allowance."

Inquiry

Any inquiry concerning this communication or earlier communications from the examiner should be directed to TUAN-KHANH PHAN whose telephone number is

(571)270-3047. The examiner can normally be reached on 4/5/9.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Don Wong can be reached on 571-272-1834. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the

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Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/T. K. P./

Examiner, Art Unit 2163

/don wong/

Supervisory Patent Examiner, Art Unit 2163